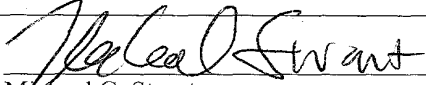


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|--|--|---|--|--|--|
| FORM PTO-1390 (REV 10-94) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | DOCKET #: 4925-177PUS | |
| <p align="center">TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</p> | | | | | |
| | | | | <p align="center">U.S. APPLICATION NO. 08/980541 <small>(If known, see 37 CFR 1.51)</small></p> | |
| INTERNATIONAL APPLICATION NO. PCT/EP99/03517 | | INTERNATIONAL FILING DATE 21 May 1999 | | PRIORITY DATE CLAIMED | |
| TITLE OF INVENTION Packet Data Transmission in Third Generation Mobile System | | | | | |
| APPLICANT(S) FOR DO/EO/US Mikko PUUSKARI; Tuija HURTTA; Juha KALLIOKULJU; Tero MAKELA | | | | | |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> | | | | | |
| <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). (See Reply to Written Opinion)</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). Unexecuted</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11. to 16. Below concern other document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p style="margin-left: 20px;"><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information (<i>specify</i>): PCT Publication Sheet, Int'l Preliminary Examination Report, Written Opinion, Reply to Written Opinion, Information Concerning Elected Offices Notified of Their Election, Notice Informing the Applicant of the Communication of the International Application to the Designated Offices, Notification of the Recording of a Change, Notification of Receipt of Record Copy, and PCT Request</p> | | | | | |

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|--|--------------|--|--|--|------|
| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09/980541 | | INTERNATIONAL APPLICATION NO. PCT/EP99/03517 | | ATTORNEY'S DOCKET NUMBER 4925-177PUS | |
| 17.[x]The following fees are submitted: | | | | | |
| Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO\$890.00 International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$710.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$740.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$1040.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)\$100.00 | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | \$ | 890 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | 43 - 20 = | 23 | x \$18.00 | \$ | 414 |
| Independent Claims | 3 - 3 = | 0 | x \$84.00 | \$ | |
| Multiple dependent claim(s) (if applicable) | | | + \$280.00 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | 1304 |
| Reduction of 1/2 for filing by small entity, if applicable. | | | | \$ | |
| SUBTOTAL = | | | | \$ | 1304 |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ | 1304 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ | |
| TOTAL FEES ENCLOSED | | | | \$ | 1304 |
| Amount to be refunded: | | | | \$ | |
| charged: | | | | \$ | |
| a. [x]One check in the amount of \$ <u>1304</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>03-2412</u> in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [x]The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-2412</u> . A duplicate copy of this sheet is enclosed. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: <u>Michael C. Stuart</u> Cohen, Pontani, Lieberman & Pavane 551 Fifth Avenue, Suite 1210 New York, New York 10176 | | |  <u>Michael C. Stuart</u> Registration Number: <u>35,698</u> <u>November 15, 2001</u> Tel: (212) 687-2770 | | |

By Express Mail # EV052763269US · November 15, 2001

Attorney Docket # 4925-177PUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Mikko PUUSKARI et al.

International Appln. No.: PCT/EP99/03517

International Filing Date: May 21, 1999

For: Packet Data Transmission in Third Generation
Mobile System

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents

Washington, D.C. 20231

BOX PCT

S I R:

Prior to examination of the above-identified application please amend the application as follows:

In the Claims:

Please amend claims 11, 12, 27, and 28 to read as follows:

11. A method according to claim 7, further comprising the steps of:

deciding (S35) whether a determined traffic class is a predetermined traffic

class, and if so

discarding (S36) those of received data packets which are received after subsequently sent data packets.

12. A method according to claim 7, further comprising the steps of:
deciding (S35) whether a determined traffic class is a predetermined traffic class, and if not so
monitoring (S37) a sequential relationship among received data packets,
detecting (S38) whether a data packet is missing in the monitored sequence,
and
in response to the detection of a missing data packet, buffering (S311) received data packets.

27. A network element according to claim 20, wherein said network element is a radio network controller (RNC) controlling the transmission of data packets in a packet data network in downlink direction.

28. A network element according to claim 20, wherein said network element is a GGSN (Gateway GPRS Support Node) controlling the transmission of data packets in a packet data network in uplink direction.

Add the following new claims:

29. A method according to claim 8, further comprising the steps of:

deciding (S35) whether a determined traffic class is a predetermined traffic class, and if so

discarding (S36) those of received data packets which are received after subsequently sent data packets.

30. A method according to claim 8, further comprising the steps of:

deciding (S35) whether a determined traffic class is a predetermined traffic class, and if not so

monitoring (S37) a sequential relationship among received data packets,

detecting (S38) whether a data packet is missing in the monitored sequence,

and

in response to the detection of a missing data packet, buffering (S311) received data packets.

31. A method according to claim 30, further comprising a step of

setting (S310) a buffering time window, during which time window received data packets are buffered.

32. A network element according to claim 21, wherein said network element is a radio network controller (RNC) controlling the transmission of data packets in a packet data network in downlink direction.

33. A network element according to claim 22, wherein said network element is a radio network controller (RNC) controlling the transmission of data packets in a packet data network in downlink direction.

34. A network element according to claim 23, wherein said network element is a radio network controller (RNC) controlling the transmission of data packets in a packet data network in downlink direction.

35. A network element according to claim 24, wherein said network element is a radio network controller (RNC) controlling the transmission of data packets in a packet data network in downlink direction.

36. A network element according to claim 25, wherein said network element is a radio network controller (RNC) controlling the transmission of data packets in a packet data network in downlink direction.

37. A network element according to claim 26, wherein said network element is a radio network controller (RNC) controlling the transmission of data packets in a packet data network in downlink direction.

38. A network element according to claim 21, wherein said network element is a GGSN (Gateway GPRS Support Node) controlling the transmission of data packets in a packet data network in uplink direction.

39. A network element according to claim 22, wherein said network element is a GGSN (Gateway GPRS Support Node) controlling the transmission of data packets in a packet data network in uplink direction.

40. A network element according to claim 23, wherein said network element is a GGSN (Gateway GPRS Support Node) controlling the transmission of data packets in a packet data network in uplink direction.

41. A network element according to claim 24, wherein said network element is a GGSN (Gateway GPRS Support Node) controlling the transmission of data packets in a packet data network in uplink direction.

42. A network element according to claim 25, wherein said network element is a GGSN (Gateway GPRS Support Node) controlling the transmission of data packets in a packet data network in uplink direction.


43. A network element according to claim 26, wherein said network element is a GGSN (Gateway GPRS Support Node) controlling the transmission of data packets in a packet data network in uplink direction.

REMARKS

This preliminary amendment is presented to eliminate multiple dependency from the present claims. No new matter has been added. Early examination and favorable consideration of the above-identified application is earnestly solicited.

Any additional fees or charges required at this time in connection with the application may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

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15 November 2001

AMENDMENTS TO THE SPECIFICATION AND CLAIMS SHOWING CHANGES

In the Claims:

11. A method according to claim 7 [or 8], further comprising the steps of:
deciding (S35) whether a determined traffic class is a predetermined traffic
class, and if so

discarding (S36) those of received data packets which are received after
subsequently sent data packets.

12. A method according to claim 7 [or 8], further comprising the steps of:
deciding (S35) whether a determined traffic class is a predetermined traffic
class, and if not so

monitoring (S37) a sequential relationship among received data packets,
detecting (S38) whether a data packet is missing in the monitored sequence,
and

in response to the detection of a missing data packet, buffering (S311)
received data packets.

27. A network element according to [any of the preceding claims] claim 20 [to
26], wherein said network element is a radio network controller (RNC) controlling the
transmission of data packets in a packet data network in downlink direction.

AMENDMENTS TO THE SPECIFICATION AND CLAIMS SHOWING CHANGES

In the Claims:

11. A method according to claim 7 [or 8], further comprising the steps of:
deciding (S35) whether a determined traffic class is a predetermined traffic
class, and if so
discarding (S36) those of received data packets which are received after
subsequently sent data packets.
12. A method according to claim 7 [or 8], further comprising the steps of:
deciding (S35) whether a determined traffic class is a predetermined traffic
class, and if not so
monitoring (S37) a sequential relationship among received data packets,
detecting (S38) whether a data packet is missing in the monitored sequence,
and
in response to the detection of a missing data packet, buffering (S311)
received data packets.
27. A network element according to [any of the preceding claims] claim 20 [to
26], wherein said network element is a radio network controller (RNC) controlling the
transmission of data packets in a packet data network in downlink direction.

28. A network element according to [any of the preceding claims] claim 20 [to 26], wherein said network element is a GGSN (Gateway GPRS Support Node) controlling the transmission of data packets in a packet data network in uplink direction.

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204T20-1433550

09/980541

TITLE OF THE INVENTIONPACKET DATA TRANSMISSION IN THIRD
GENERATION MOBILE SYSTEM

5

FIELD OF THE INVENTION

10 The present invention relates to a method for setting a delivery order attribute as a parameter for transmission of data packets in a packet data network, to a method for transmission of data packets in a packet data network, and to a network element for controlling transmission of data packets in a packet data network which network element is adapted to operate according to the latter.

15

Particularly, the present invention concerns such methods and network elements in connection with the UMTS being currently developed (UMTS = Universal Mobile Telecommunication System), and more specifically, to PDP context QoS parameters and their derivation from available information as well as their use. (PDP = Packet Data Protocol, QoS = Quality of Service).

20

BACKGROUND OF THE INVENTION

25

Recently telecommunication has made considerable progress. A part of this progress manifests in the fact that a user may access different networks from a single terminal device such as a mobile station MS, and transmit / receive different kinds of data from / with said terminal.

30

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For example, a considerable progress represents the possibility to access the Internet from one's mobile station and to perform data transfer between the Internet and one's mobile station.

5

Such data transfers rely on packet data transmission, according to which data are transmitted in units of packets. An example for a packet data network enabling such a packet data transmission is the GPRS network GPRS-NW roughly illustrated in Fig. 1. (GPRS = General Packet Radio Service) for explanatory purposes. Fig. 1 shows a third generation GPRS network part (3G-GPRS) in the UMTS and the respective corresponding GPRS components.

10

15 Packet data are for example sent from an external network such as the Internet (or the PSTN = Public Switched Telephone Network) to a terminal device of a user such as a mobile station MS (downlink DL transmission), or vice versa (uplink UL transmission). The subsequent brief explanation
20 of packet data transmission will now refer to the downlink DL transmission.

25

The connection between the UMTS (GPRS part) network UMTS and the external network is established via a so-called 3G-GGSN (= 3rd generation Gateway GPRS Support Node). The 3G-GGSN as a network element transfers the received data via a 3G-SGSN (= 3rd generation Serving GPRS Support Node) (this is optionally, since a GGSN may also act as a SGSN in future UMTS standards releases, although at present a SGSN
30 is mandatory) to a (radio) network controller device RNC (in UMTS; corresponding to a base station controller BSC in

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GPRS) adapted to control a (radio) access network consisting of at least one Node B (in UMTS) (which corresponds to a base transceiver station BTS in GPRS) (in case of a radio access network). The access network then
5 accesses and communicates with the user's terminal MS.

In downlink DL, the RNC controls the forwarding of data packets to the mobile station as the destination, while in uplink the GGSN controls the forwarding of data packets to
10 the external network as the destination.

When forwarding such data packets via the packet data network such as a GPRS network, the provisioning of a sufficient quality of the service i.e. the transmission of
15 data packets, is essential. This is referred to as QoS.

Provisioning of QoS in GPRS phase 1 could not be successfully established. In a subsequent GPRS phase 2, and therefore also in a UMTS network, data packets can be
20 transmitted using different transmission protocol types. For example, the following protocol types are supported: UDP (User Datagram Protocol), mostly used for real time applications; TCP (Transmission Control Protocol), PPP (Point to Point Protocol), X.25 protocol, IP (Internet
25 Protocol), OSP:HOSS (Octet Streaming Protocol : Internet Hosted Octet Streaming Service).

All of these PDP types underlie respective different requirements. Also, different applications (e.g. real-time
30 applications and/or non-real time applications) can be run on top of the PDP contexts of the above mentioned PDP

types. However, different applications will require a
respective different service from the network.

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For example, the X.25 protocol requires the data packets to
be sent reliable and delivered in-order, i.e. in the same
sequence as they were initially transmitted/forwarded. PPP
protocol, on the other hand, requires a less reliable
transmission, i.e. some data packets can be lost without
significantly affecting QoS, but the data packets not lost
have to be delivered in-sequence. Still further, IP
protocol based transmissions do neither have to preserve
the order of the sent packets nor to be reliable in the
sense that no data packets are to be lost.

For this purpose, a delivery order attribute as a PDP
context QoS parameter has recently been defined. To be
included in a set of UMTS bearer QoS parameters. These
parameters are still subject to a non-concluded
standardization process. *A delivery order attribute is defined in
3GPP, Technical Specification Group Services and System Aspects,
QoS concept (3G TR 23.907; May 1999, Version 1.1.0). <->*

The delivery order attribute parameter (DOA) defines for
UMTS if the order of transmitted packets has to be
maintained or not. In case the order is to be maintained,
this leads to the necessity of a node or network element of
the network (GPRS comparable part of UMTS) to rearrange the
received (disordered) data packets to thereby reconstruct
the initial sequence of the data packets as they were sent.

However, this additional parameter is hard to define by an
end-user who can be expected not to be an expert in
telecommunication networks. Namely, such a "normal" end-

*<(This document has been referred to when drafting the appended
independent claims in two-part form.)>*

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user presumably does not know whether such a property (of in-order packets) is necessary for an activated service and/or how the property affects the operation.

5 Moreover, in order to support different applications on top of the UMTS bearer, four traffic classes have been developed. Namely, a conversational, streaming, interactive and background traffic class, respectively.

10 PDP types mentioned above are independent of the traffic classes. Stated in other words, each PDP type (protocol type) may run over several traffic classes. IN addition, the selection of traffic class sets some requirements for the handling of the prevailing traffic in terms of
15 scheduling and/or buffering of transmitted data packets. Also, a delivery order is defined in each traffic class, but this is currently not in line with the requirements imposed to the traffic classes.

Further prior art is known from document WO 97/222 01.

20 SUMMARY OF THE INVENTION

Hence, it is an object of the present invention to optimize data packet transmission for different service while simplifying a user interface required for configuring
25 services available to a user.

According to a first aspect of the present invention, this object is achieved by a method for setting a delivery order attribute as a parameter for transmission of data packets
30 in a packet data network, said method comprising the steps of: establishing mapping information for delivery order

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attributes corresponding to different transmission protocol types, detecting a transmission protocol type for the transmission of data packets, deciding whether said detected protocol type is a predetermined type, and
5 setting, based on said mapping information and said decision result, the delivery order attribute in case the predetermined protocol type is decided to be not present.

According to a second aspect of the present invention, this
10 object is achieved by a method for transmission of data packets in a packet data network, said method comprising the steps of: detecting at least a delivery order attribute as a parameter for transmission of data packets; deciding, whether said delivery order attribute parameter is set; and
15 if so determining a traffic class of the transmitted data packets, and processing the transmitted data packets dependent on the determined traffic class.

Still further, this object is achieved by a network element
20 for controlling transmission of data packets in a packet data network, said network element comprising: first detecting means adapted to detect at least a delivery order attribute as a parameter for transmission of data packets; first deciding means adapted to decide whether said
25 delivery order attribute parameter is set; first determining means responsive to a positive decision result and adapted to determine a traffic class of the transmitted data packets, and processing means adapted to process the transmitted data packets dependent on the determined
30 traffic class.

Favorable refinements of the present invention are as set out in respective dependent claims.

According to the first aspect of the present invention, the
5 delivery order attribute is set according to a PDP type,
i.e. a transmission protocol type. Thus, the value of the
delivery order attribute is derived without necessitating
an interaction of the end-user. The parameter is thus
hidden from the end-user, which makes the design of the
10 user interface UI more simple.

According to the second aspect of the present invention,
data packets are transmitted/forwarded based on a combined
evaluation of the delivery order parameter and the traffic
15 class. Namely, this aspect of the invention proposes that
the way the delivery order is maintained depends on the
traffic class of a connection. For example, for real-time
RT connections and RT traffic classes, delayed data packets
 P_k which are received after a packet P_i ($i > k$) are
20 discarded, while for non-real-time NRT connections, packets
are buffered and reordered. This is done in case the
delivery order is required to be maintained. Stated in
other words, NRT packet delivery is both, in-sequence (if
required) and more reliable. In summary, a reordering
25 process for data packets is optimized for different
services.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will be described in the following
30 with reference to the drawings, in which

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Fig. 1 illustrates a simplified block diagram of a GPRS network and/or corresponding functional units of a UMTS;

Fig. 2 is a flowchart explaining a first aspect of the present invention in greater detail;

Fig. 3 (Fig. 3A & 3B) is a flowchart explaining a second aspect of the present invention in greater detail; and

Fig. 4 shows a block diagram of a network element according to the present invention.

DETAILED DESCRIPTION OF EMBODIMENTS OF THE INVENTION

According to a first aspect of the present invention, the delivery order attribute DOA is derived from a PDP type, i.e. transmission protocol type, respectively. For example, considering a case of traffic, i.e. transmission of data packets relying on UDP protocol, which in most cases is used for real time traffic. In connection with real time traffic, it is preferred to discard some data packets instead of starting buffering of data packets and waiting for individual packets that are lost or at least received with delay. In such a case, the delivery order attribute should not be set, i.e. should for example be set to a value of zero indicating that the data packets need not be delivered/forwarded in the sequential order in which they were initially transmitted (ordering not required). On the other hand, PPP and X.25 protocols, for example, are used to run applications which require or at least benefit from packets being delivered / forwarded (i.e. received at the

destination) in their initial order (sequence) in which they were transmitted from the sender side. Moreover, TCP, which does not require that the delivery order is being kept, will benefit from the delivery order being

5 maintained. Also in such a case , the PDP type, namely the protocol type, can be used to decide whether the delivery order attribute is to be set, and if such a protocol type is present, the delivery order attribute is set to a value indicating that a delivery of data packets is required in
10 sequence (the initial sequence of sending). New radio interface such as MAC (Medium Access Control) / RLC (Radio Link Control) defined in UMTS require to be configured to deliver data packets either to be in order to deliver data packets not necessarily in order , i.e. out of order
15 delivery is permissible.

Fig. 2 shows a more detailed flow chart of this proposed method for setting a delivery order attribute as a
parameter for transmission of data packets in a packet data
20 network.

The method starts in a step S20, which is followed by an initiation of packet data transmission in step S21.

25 Thereafter, in step S22, a PDP type is detected after a mapping information has been established, which mapping information has been established for delivery order attributes corresponding to different transmission protocol types. Namely, information regarding the used transmission
30 protocol type (and associated delivery order attributes) is acquired.

In a following step S23 it is then decided, whether the detected protocol is a predetermined one. Also, this is intended to mean that it is decided whether the detected
5 protocol is part of a predetermined group of protocols (a group of protocols in the simplest case consists of one protocol only). That is, there exist different protocols of which part require in-sequence delivery and part do not require in-sequence delivery. A predetermined type of
10 protocol referred to herein below refers to a protocol or a set of protocols which do not require in-sequence delivery.

If a predetermined type of protocol is decided to be present (YES in step S23), the flow branches to step S25.
15 Stated in other words, steps S22 and S23 detect a PDP type and decide whether it requires in-sequence delivery or not. This may be the case in the event that UDP as a protocol for real-time transmission has been detected to be present, as mentioned before. Then, in step S25, the delivery order
20 attribute is not set, i.e. assumes a value of zero, for example.

On the other hand, if said predetermined type has not been detected (NO in Step S23) (e.g. a type has been detected
25 which is not used for RT but rather for NRT transmissions), the flow branches to step S24. IN step S24, the delivery order attribute is set to a value (e.g. DOA=1) indicating that delivery of data packets is required in sequence (the initial sequence of sending)

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After step S24 as well as after step S25, the flow is combined and proceeds with a step S26. In step S26, the packet data are transmitted together with the delivery order attribute DOA (being set (DOA=1) or not set (DOA=0)).

5

The flow then ends in step S27.

As a still further alternative (not shown in the Figure), if due to the automatic setting of the delivery order parameter some advantageous other properties of transmission are adversely affected (e.g. transmission quality falls below a predetermined quality threshold), the final decision as to the setting of the DOA parameter may be left to the user again, or the parameter may be set to a fixed value.

15

According to a second aspect of the invention, the above set/or non-set delivery order attribute is evaluated in the course of transmitting data packets. Specifically, the transmission is based on the combined evaluation of PDP type requirements and traffic classes, so that a proper handling of the delivery order parameter in a respective traffic class is resulting therefrom.

20

In brief, because in real-time traffic classes the data packet scheduling and forwarding must be fast, i.e. real-time with hardly any buffering, there cannot be buffering of data packets even if the packets are received in a wrong order while an in-sequence delivery of the data packets is required (i.e. the delivery order parameter DOA is set, DOA=1, for the PDP context, namely the protocol type).

30

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Packets being received out of order are deleted and/or discarded. So, for example, for a packet stream of #1, #2, #3, #5, #6, #4, #7, #8 being received, packet #4 will be deleted.

5

On the other hand, in connection with non-real-time traffic, it makes sense to wait for some time for data packets not yet arrived in order to be able to reorder the flow of packets. As a specific example only, the ordering is based on sequence numbers contained in GTP headers (GPRS Tunneling Protocol) of the data packets. Nonetheless ordering can be based on RLC numbering in the radio interface, i.e. on the information contained in an RLC header, as a further example. Generally, this can be based on the information contained in any header, as long as the respective header contains an indication related to the sequence of the packets.

10

15

Therefore, according to the second aspect of the present invention the delivery/forwarding, i.e. transmission of data packets is proposed to be handled as follows:

20

I.) CONVERSATIONAL AND STREAMING TRAFFIC CLASSES

(more generally: a first type of traffic class or first

25 type group of traffic classes)

If a delivery order attribute is not set, all incoming data packets are forwarded immediately (or at least as soon as possible).

30

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However, in case the delivery order attribute has been set, a network element (e.g. RNC in downlink direction, GGSN in uplink direction of transmission) checks the order of, i.e. a sequential relationship among data packets before they are forwarded to a respective destination (mobile station terminal in downlink, external network such as Internet in uplink). (It should be noted that the check could also be conducted by the closest network node after transmission, so e.g. by the SGSN.) If a data packet (or more than one) arrives after the subsequent packet (with reference to the initial order of the packets upon sending), and the data packets arrive thus in a wrong order, the disordered packet(s) is/are discarded to thereby preserve the right order of packets, since buffering and waiting for possibly disordered data packets does not make sense in case with this real-time traffic related traffic class.

II.) INTERACTIVE AND BACKGROUND TRAFFIC CLASS

(more generally: a second type of traffic class or second type group of traffic classes)

If a delivery order attribute is not set, all incoming data packets are forwarded immediately (or at least as soon as possible). (In this connection, the behavior is similar to the first class.)

In case the delivery order attribute parameter has been set, the network element (e.g. RNC in downlink direction, GGSN in uplink direction of transmission) checks the order of, i.e. a sequential relationship among data packets before they are forwarded to a respective destination

(mobile station terminal in downlink, external network such as Internet in uplink).

If a data packet is missing, the (next) data packets will
5 be buffered and the missing data packet will be waited for,
at least for a specified waiting time also referred to
hereinafter as a buffering time window. This is for example
controlled by a timing device which controls buffering and
waiting. When the timer expires, i.e. the buffering time
10 window has lapsed, the buffered data packets buffered so
far are sent and a possibly disordered data packet is
dropped or discarded even if it arrives later. In case the
missing data packet arrives prior to the lapse of the
buffering time window, the buffer can be emptied and the
15 sending/forwarding is continued until a next packet is
missing. In this case, of course, the buffered data packets
are reordered and sent in their initial sequence, with the
reordering being based on the sequence number contained in
the a header such as the GTP header or RLC header (or any
20 other suitable header containing such sequence number
information) of the packets.

This ensures, that during most time of the transmission,
the NRT (non real time) packet delivery is effected both,
25 in sequence (if required) and reliable (in that only few
data packets are missing and transmission quality is not
degraded due to a disordered data stream at the
destination). A delay caused in this case does not cause a
remarkable deterioration since NRT can cope with delays and
30 even with variations in delay.

In addition to traffic class information mentioned above, also bit error rate (BER) and/or packet loss ratio parameter values may be referred to in order to influence the decision as to whether data packets are to be buffered or not for a certain PDP context, i.e. transmission protocol. Also, a combined consideration of the previous attribute values and a Maximum transfer Delay value may be used to define an appropriate value for the buffering time window (and/or buffer size).

10

Fig. 3 now shows a more detailed flow chart of this proposed method for transmission of data packets in a packet data network according to the invention.

15 With reference to Fig. 3A, the method starts in a step S30. Thereafter, in step S31, PDP context QoS parameters are detected. Among such parameters, at least a delivery order attribute parameter DOA is detected.

20 In step S32, it is decided whether said delivery order attribute DOA is set or not. If said delivery order attribute DOA is not set (NO in step S32), the flow branches to step S33. According to step S33, data packets are forwarded immediately (or at least as soon as possible) in the order of their receipt to the destination. Then, the flow ends in a subsequent step S333.

If however, it is decided in step S32, that the DOA parameter is set (YES in step S32), the flow proceeds to step S34.

30

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In step S34, a traffic class of the prevailing traffic is determined. The subsequent processing is dependent on the determined traffic class.

5 Namely, in a following step S35, it is decided whether the determined traffic class is a predetermined one (or belongs to a predetermined group of traffic classes, e.g. RT or NRT traffic classes). More precisely, in step S35 it is decided whether the determined traffic belongs to a first type of
10 traffic class (or traffic classes). In the chosen example, this first type of traffic class(es) is defined to represent a real-time traffic class.

If this is confirmed in step S35 (YES in step S35), namely,
15 if said traffic is RT traffic such as conversational / streaming traffic, the flow branches and proceeds with step S36. In step S36, disordered packets are discarded and only the remaining packets are sent/forwarded to the destination in their initial order in which they were sent. For
20 example, if a stream of data packets of packets #1, #2, and #3 is initially sent in this order, and packets are received by the network element in the course of transmission to the destination such as a mobile station MS in the order #1, #3, and #2, the disorder is detected due
25 to the comparison of header information for the packets (e.g. information included in the GTP header, RLC header or any other suitable header), packet #2 is discarded and only packets #1 and 3 (thus in their correct order) are forwarded further to the destination. The flow then ends in
30 a step S333.

In contrast, if in step S35 a predetermined first type of traffic is not decided to be present, i.e. in the described example, NRT traffic is concluded to be present, the flow advances to step S37 (see Fig. 3B).

5

According to step S37 the sequence of received data packets is determined, i.e. a sequential relationship among the received packets is monitored. In a subsequent step S38, it is detected whether a data packet is missing in the

10 sequence of received / monitored data packets.

With reference to the above example, it is checked whether packets #1, #2, and #3 ... are received in this order or whether for example packet #2 is missing.

15

If no such packet is missing (NO in step S38), the flow branches to step S39 and the received packets are sent / forwarded in the received order (which in this case is also the order of initial sending thereof). The flow then ends

20 in step S333.

If, however, a packet is missing (e.g. packet #2) (YES in step S38), the method proceeds with step S310.

25 In step S310, a buffer timer is set, thereby setting a buffering time window, during which time window received data packets are buffered. The received data packets are buffered in step S311 and it is waited for the receipt of the missing data packet (or packets). During the waiting,
30 it is checked, whether the timer has expired (the time window has lapsed or not.

If the timer has expired (YES in step S312), the flow proceeds to step S313, where the buffered data packets are sent/forwarded from the buffer to the destination. This implies that the missing data packets, if still received later, are discarded. With reference to the example given in connection with the three packets, if packet #2 is not received during the buffering time window, only packets #1 and #3 are forwarded and packet #2 is discarded if received later. The flow then ends in step S333. (it should be noted that the discarding of "late-received", i.e. disordered packets such as packet #2 is not necessary in all cases, so that in the given example there might be cases in which packet #2 is also sent to the destination.)

If, however, the timer has not expired (NO in step S312), the flow proceeds to step S314, where it is checked whether a missing data packet (or plural missing packets) have been received.

If the packet(s) is(are) received (YES in step S314), the flow proceeds to step S315. In step S315 the buffered data packets are reordered to their initial sequence order (based on the sequence number information contained in a suitable header such as for example the GTP header, RLC header, LLC header, SNDCP header (layer on top of LLC in GPRS), etc.) and forwarded in their initial sequence order.

Referring to the given example, if packets #1 and #3 have been buffered and packet #2 is received during the buffering time window so that packets #1, #3, and #2 are

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present, these are reordered and forwarded in their initial sending sequence order of packets #1, #2, and #3 to their destination.

- 5 If, however, the packets are not received (NO in step S314) the flow returns to step S311, and buffering and waiting for missing packets continues until either the timer expires or the missing packet(s) is(are) received.
- 10 The preceding detailed description has been given with particular reference to the method. However, the present invention also relates to a corresponding device and/or network element for controlling transmission of data packets in a packet data network, said network element
- 15 comprising a first detecting means adapted to detect at least a delivery order attribute as a parameter for transmission of data packets, a first deciding means adapted to decide whether said delivery order attribute parameter is set, a first determining means responsive to a
- 20 positive decision result and adapted to determine a traffic class of the transmitted data packets, and a processing means adapted to process the transmitted data packets dependent on the determined traffic class.
- 25 In detail, such a network element NW-ELEMENT is shown in Fig. 4 of the enclosed drawings. Transmitted data packets are supplied to the network element and input to a first detecting means, which is connected to a first deciding means, which in turn is connected to a first determination
- 30 means and a subsequent processing means.

- 20 -

The processing means as such comprises, as shown in the lower part of Fig. 4, a second deciding means connected to a discarding means and a monitoring means which are responsive to respective decision results of said second
5 deciding means.

The monitoring means as such is connected to a second detecting means, an output signal of which is supplied to a buffer means. The buffer means buffers the data packets
10 supplied thereto via an input (not shown) responsive to the signal supplied from the second detecting means. The buffer means is set from by means of a setting means, while a checking means checks the buffer means in regard of packets and/or the order of packets buffered therein.

15 The data buffered are read out from the buffer means and supplied to a forwarding/reordering means which either forwards the buffered data or reorders the buffered data packets dependent on a control signal supplied to the
20 forwarding / reordering means from the checking means. (The processing as performed by these latter means is substantially the one as described in connection with the flowchart Fig. 3B, particularly steps S311 to S315.)

25 The location of such a device / network element within the network is dependent on the transmission direction of the data packets. For example, in connection with downlink DL transmission, the device will be implemented as part of the RNC as a network element, while in connection with uplink
30 traffic, the device will be implemented as part of the GGSN as a network element.

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It is apparent to those skilled in the art that each of the methods steps and its functionality as described herein before can be transferred to a corresponding hardware means adapted to perform the same functionality as described in connection with the method step, so that a detailed description of a correspondingly adapted device is considered to be dispensable.

- 10 As has been described herein before, the present invention proposes a method for transmission of data packets in a packet data network, said method comprising the steps of: detecting S31 at least a delivery order attribute DOA as a parameter for transmission of data packets; deciding S32, whether said delivery order attribute parameter is set; and if so determining S34 a traffic class of the transmitted data packets, and processing the transmitted data packets dependent on the determined traffic class S35 to S315. Also, the present invention is directed to correspondingly adapted network elements. Furthermore, the invention concerns a method for setting a delivery order attribute DOA as a parameter for transmission of data packets in a packet data network.
- 25 It should be understood that the above description and accompanying figures are merely intended to illustrate the present invention by way of example only. The preferred embodiments of the present invention may thus vary within the scope of the attached claims.

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Enclosure of June 26, 2001

PCT Patent Application No.: PCT/EP99/03517
NOKIA NETWORKS OY
Our ref.: WO 24314

Amended New ClaimsCLAIMS

1. A method for setting a delivery order attribute (DOA) as
a parameter for transmission of data packets in a packet
data network (GPRS-NW),

said method being characterized by comprising the steps of:
establishing mapping information for delivery order
attributes corresponding to different transmission protocol
types;

detecting (S22) a transmission protocol type for the
transmission of data packets,

deciding (S23) whether said detected protocol type is
a predetermined type, and

setting (S24), based on said mapping information and
said decision result, the delivery order attribute (DOA) in
case the predetermined protocol type is decided to be not
present.

2. A method according to claim 1, wherein said set
delivery order attribute (DOA) indicates that the order of
transmitted data packets is to be maintained.

3. A method according to claim 1, wherein said delivery
order attribute (DOA) is not set (S25) in case the
predetermined protocol type is decided to be present.

4. A method according to claim 3, wherein said

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delivery order attribute being not set indicates that the order of transmitted data packets does not need to be maintained.

- 5 5. A method according to claim 1, wherein said predetermined protocol type is a protocol type used for real-time transmission.
- 10 6. A method according to claim 1, wherein said transmission protocol type is derived from PDP context information or PDP type information.
- 15 7. A method for transmission of data packets in a packet data network, said method comprising the steps of:
detecting (S31) at least a delivery order attribute (DOA) as a parameter for transmission of data packets;
further characterized by the steps of
deciding (S32), whether said delivery order attribute parameter is set; and if so
20 determining (S34) a traffic class of the transmitted data packets, and
processing (S35-S39, S310-S315) the transmitted data packets dependent on the determined traffic class.
- 25 8. A method according to claim 7, wherein if said delivery order attribute is set, this indicates that the order of transmitted data packets is to be maintained.
- 30 9. A method according to claim 7, wherein if said delivery order attribute is not set, this indicates that the order of transmitted data packets does not need to be maintained.

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10. A method according to claim 9, wherein data packets to be transmitted are forwarded (S33) to their destination immediately and irrespective of the traffic class.

5 11. A method according to claim 7 or 8, further comprising the steps of:

deciding (S35) whether a determined traffic class is a predetermined traffic class, and if so

discarding (S36) those of received data packets which
10 are received after subsequently sent data packets.

12. A method according to claim 7 or 8, further comprising the steps of:

deciding (S35) whether a determined traffic class is a
15 predetermined traffic class, and if not so

monitoring (S37) a sequential relationship among received data packets,

detecting (S38) whether a data packet is missing in the monitored sequence, and

20 in response to the detection of a missing data packet, buffering (S311) received data packets.

13. A method according to claim 12, further comprising a step of

25 setting (S310) a buffering time window, during which time window received data packets are buffered.

14. A method according to claim 13, further comprising a step of

30 checking (S314) whether the missing data packet is received during the buffering time window.

15. A method according to claim 14, wherein

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if said missing data packet is not received during the buffering time window (S314, S312),

said buffered data packets are forwarded (S313) irrespective of the missing data packet, which is discarded even if received after the buffering time window.

16. A method according to claim 14, wherein

if said missing data packet is not received during the buffering time window (S314, S312),

said buffered data packets are forwarded (S313) irrespective of the missing data packet, which is delivered out of sequence even if received after the buffering time window.

17. A method according to claim 14, wherein

if said missing data packet is received (S314) during the buffering time window,

said buffered data packets are reordered to their initial sequence order and forwarded in their initial sequence order (S315).

18. A method according to claim 17, wherein

said reordering is based on sequence numbers of the packets contained in headers of the packets.

19. A method according to claim 18, wherein

said headers are GTP headers, GTP = GPRS Tunneling Protocol, RLC headers, RLC = Radio Link Control, LLC headers, LLC = Logical Link Control or SNDCP headers of the packets.

20. A network element for controlling transmission of data packets in a packet data network, said network element comprising:

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first detecting means adapted to detect at least a delivery order attribute (DOA) as a parameter for transmission of data packets;

characterized by

- 5 first deciding means adapted to decide whether said delivery order attribute parameter is set;
- first determining means responsive to a positive decision result and adapted to determine a traffic class of the transmitted data packets, and
- 10 processing means adapted to process the transmitted data packets dependent on the determined traffic class.
21. A network element according to claim 20, wherein said processing means further comprises:
- 15 second deciding means adapted to decide whether a determined traffic class is a predetermined traffic class, and
- discarding means responsive to a positive result of said second deciding means and adapted to discard those of
- 20 received data packets which are received after subsequently sent data packets.
22. A network element according to claim 20, wherein said processing means further comprises:
- 25 second deciding means adapted to decide whether a determined traffic class is a predetermined traffic class, and
- monitoring means responsive to a negative result of said deciding means and adapted to monitor a sequential
- 30 relationship among received data packets,
- second detecting means adapted to detect whether a data packet is missing in the monitored sequence, and
- buffer means responsive to the detection of a missing data packet and adapted to buffer received data packets.

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23. A network element according to claim 22, wherein said processing means further comprises:

5 setting means adapted to set a buffering time window, during which time window received data packets are buffered.

24. A network element according to claim 23, wherein said processing means further comprises:

10 checking means adapted to check whether the missing data packet is received during the buffering time window.

25. A network element according to claim 24, wherein said processing means further comprises:

15 forwarding means adapted to forward, if said missing data packet is not received during the buffering time window,

20 said buffered data packets irrespective of the missing data packet, and to discard the missing data packet even if received after the buffering time window.

26. A network element according to claim 24, wherein said processing means further comprises:

25 reordering means adapted to reorder, if said missing data packet is received during the buffering time window,

30 said buffered data packets to their initial sequence order, and to forward the buffered data packets in their initial sequence order.

27. A network element according to any of the preceding claims 20 to 26, wherein said network element is a radio network controller (RNC) controlling the transmission of data packets in a packet data network in downlink

35 direction.

+

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FIG. 1

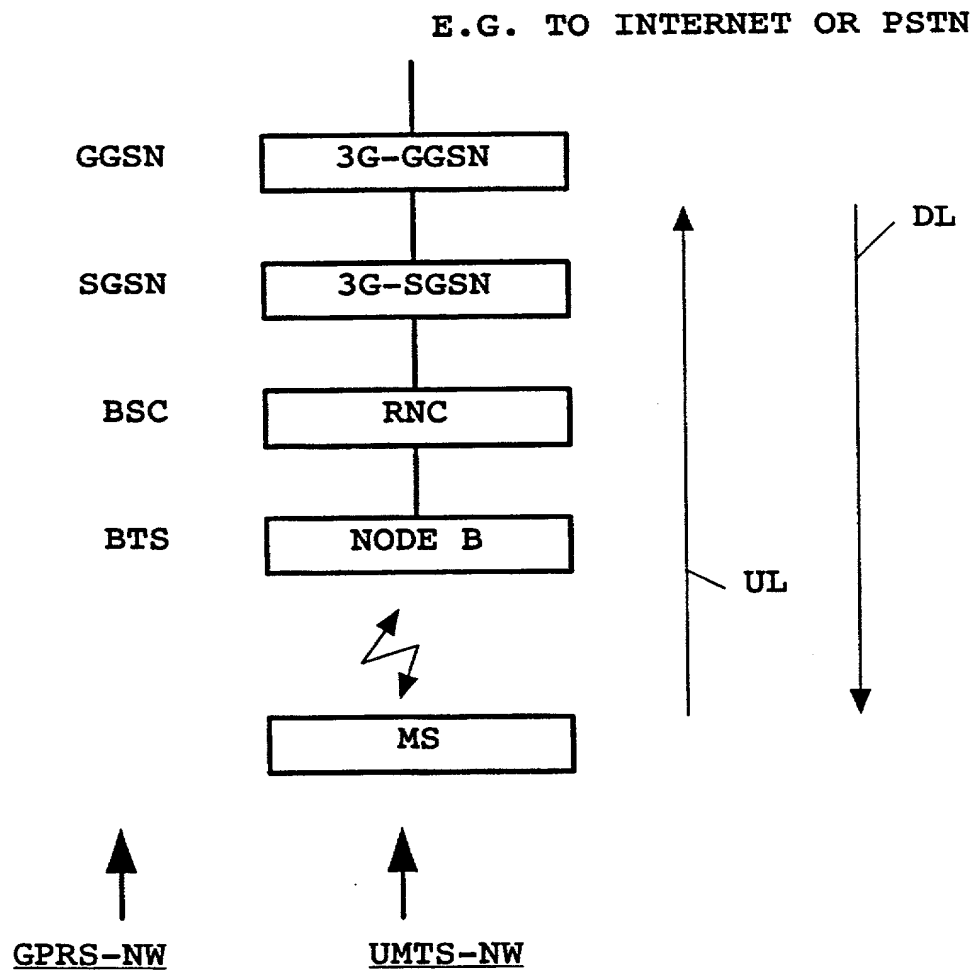


FIG. 2

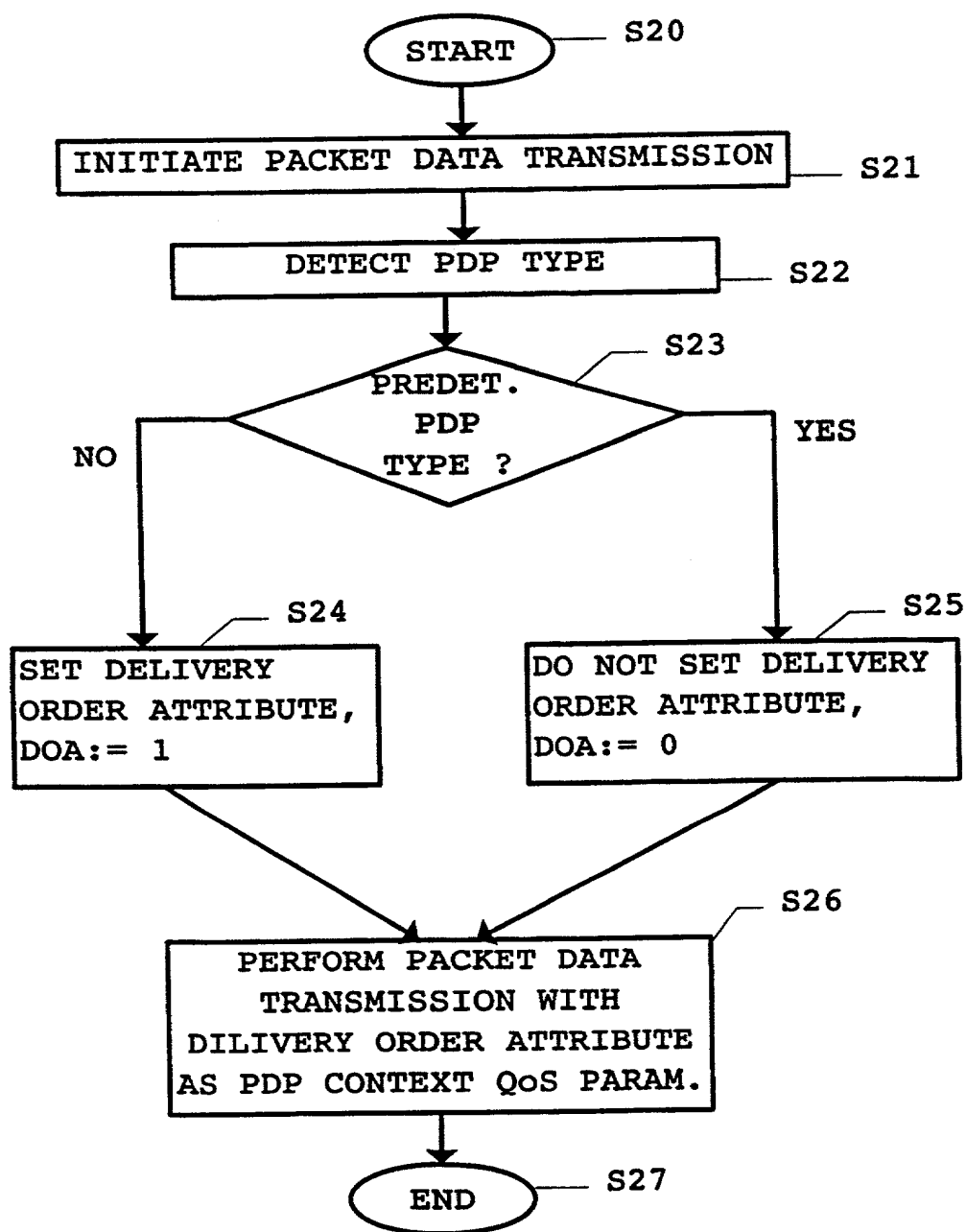


FIG. 3A

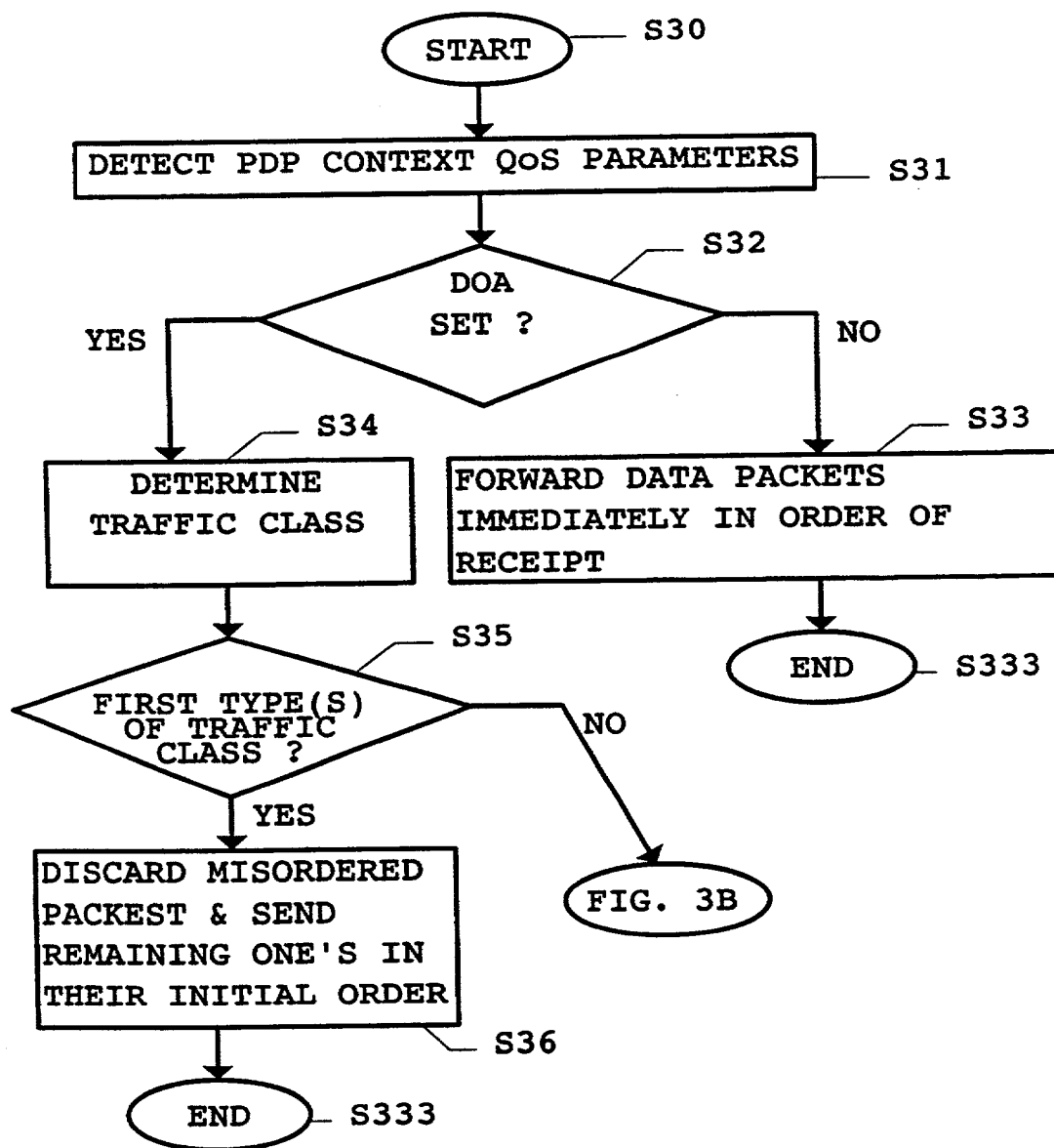


FIG. 3B

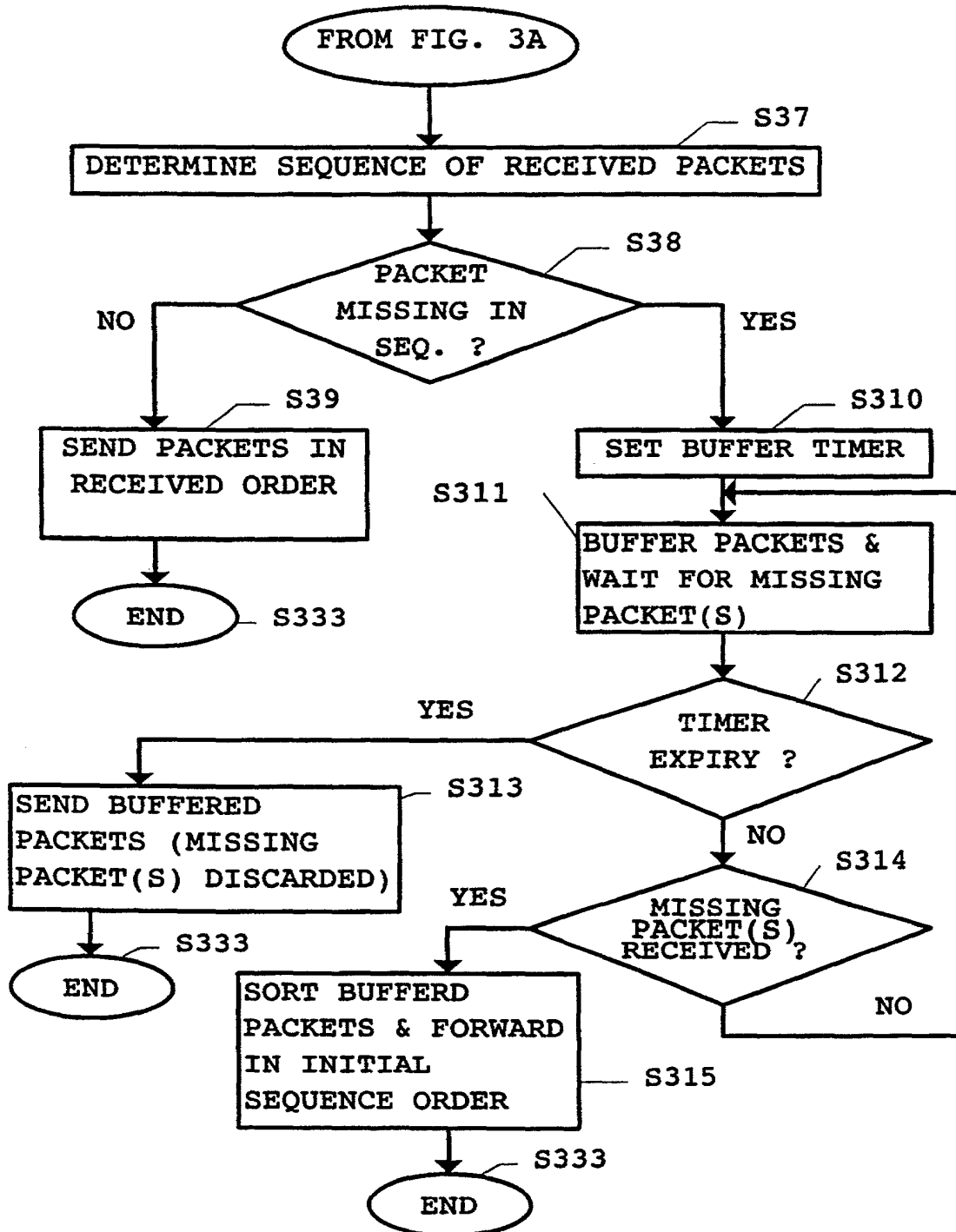
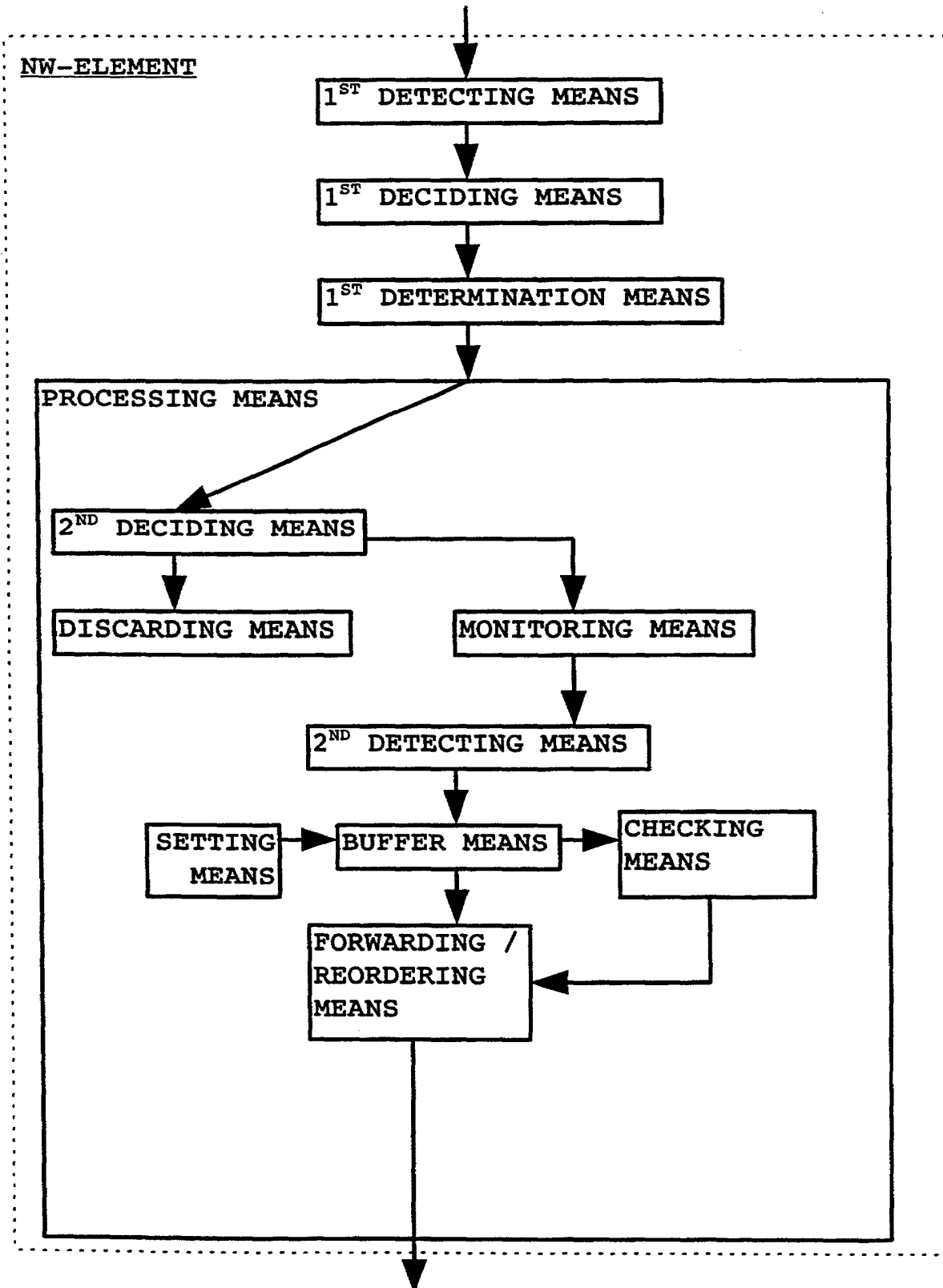


FIG. 4



COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY
 Includes Reference to PCT International Applications

 Attorney's Docket No.
 4925-177PUS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PACKET DATA TRANSMISSION IN THIRD GENERATION MOBILE SYSTEM

the specification of which (check only one item below)

☐ is attached hereto

☐ was filed as United States application

Serial No. _

on _

and was amended

on _ (if applicable).

☒ was filed as PCT international application

 Number PCT/EP99/03517

 on May 21, 1999

and was amended under PCT Article 19

on _ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of the application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

PRIOR FOREIGN/PCT APPLICATIONS AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

| Country (if PCT, indicate "PCT") | Application Number | Date of Filing (day, month, year) | Priority Claimed Under 35 U.S.C. 119 | |
|-------------------------------------|-----------------------|--------------------------------------|---|-----------------------------|
| | | | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| PCT | PCT/EP99/03517 | May 21, 1999 | <input checked="" type="checkbox"/> YES | <input type="checkbox"/> NO |
| | | | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| | | | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| | | | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| | | | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| | | | <input type="checkbox"/> YES | <input type="checkbox"/> NO |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

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|---------------------------------------|------------------|---------------------------------------|---------|-----------|
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| PCT APPLICATIONS DESIGNATING THE U.S. | | | | |
| PCT APPLICATION NO. | PCT FILING DATE | U.S. SERIAL NUMBERS ASSIGNED (if any) | | |
| PCT/EP99/03517 | May 21, 1999 | | x | |
| | | | | |

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (*List name and registration number*)

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| Combined Declaration for Patent Application and Power of Attorney (Continued) (Includes Reference to PCT International Applications) | | | | Attorney's Docket No. 4925-177PUS |
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| | | | | |
| 209 | FULL NAME OF INVENTOR | FAMILY NAME | FIRST GIVEN NAME | SECOND GIVEN NAME |
| | RESIDENCE, CITIZENSHIP | CITY | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSHIP |
| | POST OFFICE ADDRESS | POST OFFICE ADDRESS | CITY | STATE & ZIP CODE/COUNTRY |
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signatures
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Combined Declaration for Patent Application and Power of Attorney (Continued)
(Includes Reference to PCT International Applications)

Attorney's Docket No.
4925-177PUS

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| 2 1 0 | FULL NAME OF INVENTOR | FAMILY NAME | FIRST GIVEN NAME | SECOND GIVEN NAME |
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| 2 1 2 | FULL NAME OF INVENTOR | FAMILY NAME | FIRST GIVEN NAME | SECOND GIVEN NAME |
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| | POST OFFICE ADDRESS | POST OFFICE ADDRESS | CITY | STATE & ZIP CODE/COUNTRY |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Purshari

Hurtha

Kali Sengupta

SIGNATURE OF INVENTOR 201

X

SIGNATURE OF INVENTOR 202

X

SIGNATURE OF INVENTOR 203

X

DATE 3.1.2002

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DATE 16.1.2002

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DATE 14.1.2002

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SIGNATURE OF INVENTOR 204

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SIGNATURE OF INVENTOR 205

SIGNATURE OF INVENTOR 206

DATE 24.1.2002

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DATE

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SIGNATURE OF INVENTOR 207

SIGNATURE OF INVENTOR 208

SIGNATURE OF INVENTOR 209

DATE

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SIGNATURE OF INVENTOR 210

SIGNATURE OF INVENTOR 211

SIGNATURE OF INVENTOR 212

DATE

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